United Nations A/C.5/55/48

General Assembly

Distr.: General
10 August 2001

Original: English

Fifty-fifth session
Fifth Committee
Agenda item 153 (a)
Administrative and budgetary aspects of the financing of the United Nations peacekeeping operations: financing of the United Nations peacekeeping operations

Approved budgetary levels for peacekeeping operations for the prior period from 1 July 2000 to 30 June 2001 and the current period from 1 July 2001 to 30 June 2002; and indicative amounts to be apportioned among Member States for the period 1 July 2001 to 30 June 2002

Note by the Secretary-General

1. Pursuant to section I, paragraph 8, of General Assembly resolution 49/233 A of 23 December 1994, information on the initial estimated budgetary requirements of all current peacekeeping operations, inclusive of requirements for the support account for peacekeeping operations and the United Nations Logistics Base at Brindisi during the period from 1 July 2001 to 30 June 2002, was provided in the note by the Secretary-General of 31 May 2001 (A/C.5/55/43).

2. In accordance with established practice, a second note would normally be issued early in 2002 which would update this initial budgetary information to take account of further financing actions by the General Assembly by the end of its regular fifty-sixth session, in late December 2001.

3. During the course of the recently concluded second part of the resumed fifty-fifth session of the General Assembly, in May 2001, a number of representatives of Member States in the Fifth Committee expressed the view that, because 2001-2002 resource requirements for a number of peacekeeping missions in transition would require the submission of revised budgets and related additional financing actions by the Assembly, it would facilitate their work if the Secretariat would increase the frequency of updating of such peacekeeping budgetary information. It was also suggested that the content of such information be expanded to include information on peacekeeping assessed contributions.

4. The present note has been prepared taking into account the views expressed by Member States (see para. 3 above).

01-50670 (E) 150801

5. Annex I to the present note is new. It provides, for the first time, information on the total budgetary requirements of each peacekeeping operation for the just-ended 12-month period 1 July 2000 to 30 June 2001, as approved by the General Assembly at the second part of its resumed fifty-fourth session (June 2000), fifty-fifth regular session (December 2000), the first part of its resumed fifty-fifth session (March 2001) and the second part of its resumed fifty-fifth session (June 2001). As can be seen from annex I, the total appropriations approved by the General Assembly for all peacekeeping missions during the period 1 July 2000 to 30 June 2001 amounted to some $2.63 billion, inclusive of voluntary cash contributions from the Governments of Cyprus and Greece to the United Nations Peacekeeping Force in Cyprus (UNFICYP) and the Government of Kuwait to the United Nations Iraq-Kuwait Observation Mission (UNIKOM).

6. Annex II to the present note updates the budgetary information contained in the note by the Secretary-General of 31 May 2001 (A/C.5/55/43) and reflects the appropriations, as well as commitment authorities with assessments, approved by the General Assembly up to the conclusion of the second part of its resumed fifty-fifth session, on 14 June 2001, for all active peacekeeping operations for the current 12-month period from 1 July 2001 to 30 June 2002. As can be seen from annex II, the total appropriations approved by the General Assembly thus far for all active peacekeeping missions during the period 1 July 2001 to 30 June 2002 amount to some $1.77 billion, inclusive of voluntary cash contributions from the Governments of Cyprus and Greece to UNFICYP and the Government of Kuwait to UNIKOM.

7. Revised budget proposals are under preparation for the period 1 July 2001 to 30 June 2002 for a number of missions in transition (UNIFIL, United Nations Transitional Administration in East Timor (UNTAET), United Nations Mission in Sierra Leone (UNAMSIL), United Nations Mission in Ethiopia and Eritrea (UNMEE), United Nations Organization Mission in the Democratic Republic of the Congo (MONUC), United Nations Interim Administration Mission in Kosovo (UNMIK)). Revised budget proposals will also be submitted for the support account for peacekeeping operations and the United Nations Logistics Base at Brindisi in connection with the implementation of the recommendations of the Special Committee on Peacekeeping Operations and the Panel on United Nations Peace Operations (see A/55/977). On the basis of information being developed, it is projected that, by the time the General Assembly has considered the revised budget proposals for these missions and approved additional financing actions, the overall level of budgetary requirements for each active peacekeeping mission for the period is likely to be in excess of $3 billion.

8. Annexes III A and B are new. They provide, for the first time, indicative consolidated summary information on amounts to be apportioned among Member States following adoption by the General Assembly at the recently concluded second part of its resumed fifty-fifth session, in June 2001, of the individual financing resolutions for each of the active peacekeeping missions. As can be seen from annex III A, information is provided, by active mission, on the prior period (1 July 1999 to 30 June 2000) unencumbered balance amounts available for credit to Member States, the total apportionment and corresponding monthly rate by mission and the initial amounts that can be assessed, by mission, for the current period 1 July 2001 to 30 June 2002, based on their current mandate period or extension periods approved by the Security Council. Annex III B provides information, by closed

mission, on the prior period (1 July 1999 to 30 June 2000) unencumbered balance amounts also available for credit to Member States.

9. It is stressed that the assessment amounts shown in annex III A are indicative and represent an attempt to facilitate planning by Member States as to the amounts they will be assessed and the possible timing of such assessments. The assessment amounts shown in annex III A are indicative because the actual amounts contained in the individual assessment letters sent by the Contributions Section to the Permanent Missions of Member States have to take account the application of the decision by the General Assembly that, for Member States that have fulfilled their financial obligations to the mission, their respective share of the 1999-2000 unencumbered balance shall be set off against their 2001-2002 apportionment; and for Member States that have not fulfilled their financial obligations to the mission, their respective share of the unencumbered balance shall be set off against their outstanding obligations. Moreover, the actual amounts assessed on individual Member States by the Contributions Section also take account of other offsetting actions.

Annex I

Approved budgets for peacekeeping operations for the period from 1 July 2000 to 30 June 2001

As approved by the General Assembly during:
the second part of its resumed fifty fourth session (June 2000); the fifty-fifth regular session (December 2000); the first part of its resumed fifty-fifth session (March 2001); and the second part of its resumed fifty-fifth session (June 2001)

(United States dollars)

Apportionment by category	(a) UNOMIG	(b) UNMIBH	(c) UNMIK	(d) UNFICYP	(e) UNDOF	(f) UNIFIL	(g) UNIKOM	(h) UNTAET	(i) MINURSO	(j) MONUA	(k) MINURCA	(l) UNAMSIL	(m) MONUC	(n) UNMEE	(o) Total
1 Military personnel costs	4 863 200	1 825 400	7 881 500	22 456 700	18 746 300	117 535 300	24 647 000	230 940 500	7 450 200	-	-	359 587 600	33 175 400	64 188 500	893 297 600
2 Civilian personnel costs	12 785 400	114 695 100	323 051 100	9 341 000	5 158 000	27 213 800	13 071 200	191 491 600	24 975 900	130 500	73 200	39 540 800	28 790 500	16 689 500	807 007 600
3 Operational requirements															
Premises/accommodation	1 072 500	3 562 000	10 137 100	1 253 600	1 523 700	8 602 700	1 322 200	8 467 600	554 600	-	-	18 070 900	11 451 500	10 955 500	76 973 900
Infrastructure repairs	200 000	100 000	-	219 000	-	-	38 000	9 400 000	30 000	-	-	2 385 000	5 130 000	220 000	17 722 000
Transport operations	3 398 400	6 964 600	13 728 800	1 462 400	5 820 600	19 907 600	3 152 900	13 536 800	1 285 200	-	-	14 225 100	37 534 100	14 046 900	135 063 400
Air operations	2 437 700	2 788 300	6 130 400	1 214 100	-	2 182 500	2 908 100	55 210 100	4 947 800	-	-	56 891 200	63 295 500	22 665 700	220 671 400
Naval operations	-	-	-	-	-	-	108 300	2 035 900	-	-	-	-	-	-	2 144 200
Communications	771 100	5 431 500	19 782 400	864 500	481 700	4 711 300	787 300	14 884 100	1 052 100	-	-	5 433 200	26 139 600	17 567 900	97 906 700
Other equipment	482 700	2 290 400	20 345 800	656 800	866 200	5 784 100	642 200	4 862 300	1 389 500	-	-	15 453 500	22 362 700	10 279 100	85 415 300
Supplies and services	577 100	3 675 700	17 628 500	1 784 800	1 367 400	7 668 400	1 080 800	10 319 700	1 032 200	-	29 600	14 637 600	9 010 000	4 969 000	73 780 800
Air and surface freight	230 000	150 000	1 800 000	82 000	181 000	1 388 300	50 000	1 500 000	37 300	-	-	19 837 000	32 785 000	14 003 000	72 043 600
4 Other programmes	73 000	472 200	1 567 900	39 300	50 400	123 000	15 000	3 403 000	18 000	-	-	688 100	411 300	2 281 800	9 143 000
5 Staff assessment	1 508 700	8 044 800	27 946 500	1 665 600	751 400	3 980 919	1 995 000	16 948 400	3 838 800	13 000	13 000	3 250 000	3 034 000	2 133 100	75 123 219
Subtotal	**28 399 800**	**150 000 000**	**450 000 000**	**41 039 800**	**34 946 700**	**199 097 919**	**49 818 000**	**563 000 000**	**46 611 600**	**143 500**	**115 800**	**550 000 000**	**273 119 600**	**180 000 000**	**2 566 292 719**
6 Prorated provision for the United Nations Logistics Base, at Brindisi	222 865	1 177 285	-	322 085	274 295	1 089 216	391 038	-	365 778	1 138	530	3 741 370	-	-	7 585 600 [a]
7 Prorated provision for the support account	1 425 532	7 530 382	-	2 060 180	1 754 501	6 967 059	2 501 232	-	2 339 659	7 278	3 396	23 931 281	-	9 190 200 [c]	57 710 700 [a]
Total	**30 048 197**	**158 707 667**	**450 000 000**	**43 422 065**	**36 975 496**	**207 154 194**	**52 710 270**	**563 000 000**	**49 317 037**	**151 916**	**119 726**	**577 672 651**	**273 119 600**	**189 190 200**	**2 631 589 019**
Commitment authority															
with assessment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Subtotal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Grand total	**30 048 197**	**158 707 667**	**450 000 000**	**43 422 065** [a]	**36 975 496**	**207 154 194**	**52 710 270** [b]	**563 000 000**	**49 317 037**	**151 916**	**119 726**	**577 672 651** [f]	**273 119 600**	**189 190 200**	**2 631 589 019**

[a] Inclusive of voluntary cash contributions of $13,801,375 from the Government of Cyprus, and $6 5 million from the Government of Greece

[b] Inclusive of voluntary contributions in cash of $33,525,000 from the Government of Kuwait

[c] Relating to initial implementation of the report of the Panel on United Nations Peace Operations

[d] After application of $1,731,800 in unencumbered balance and interest and miscellaneous income for the period from 1 July 1998 to 30 June 1999

[e] After application of $2,179,400 in unencumbered balance and interest and miscellaneous income for the period from 1 July 1998 to 30 June 1999

[f] As recommended by the Advisory Committee, the General Assembly approved a total appropriation of $577,672,651 gross for UNAMSIL but assessment of $541,035,851 gross. The need to assess the balance of $36,636,800 would be considered in the context of the Secretary-General's financial performance report for the period, to be submitted to the General Assembly at its resumed fifty-sixth session, early in 2002

Annex II

Appropriations/commitment authorities with assessments for peacekeeping operations for the period from 1 July 2001 to 30 June 2002

As approved by the General Assembly during the second part of its resumed fifty-fifth session (June 2001)

(United States dollars)

Expenditure by category	(1) UNOMIG	(2) UNMIBH	(3) UNMIK	(4) UNFICYP	(5) UNDOF	(6) UNIFIL	(7) UNIKOM	(8) UNTAET	(9) MINURSO	(10) UNAMSIL	(11) MONUC	(12) UNMEE	(13) Total
As approved by the General Assembly													
1 Military personnel costs	3 855 200	1 385 400	7 890 000	22 218 700	19 536 000	56 273 560	23 430 000	105 766 700	7 248 000	204 605 600	46 341 900	39 675 050	538 226 110
2 Civilian personnel costs	13 352 700	108 605 100	320 280 500	8 525 400	5 210 400	15 068 100	14 298 100	106 299 600	24 809 000	19 692 700	49 946 700	14 516 400	700 604 700
3 Operational requirements													
Premises/accommodation	992 600	2 985 400	6 656 400	1 498 300	2 035 700	1 473 000	1 642 300	4 860 000	560 200	6 035 600	2 885 400	3 918 500	35 543 400
Infrastructure repairs	459 000	40 000	-	120 000	-	-	60 000	1 990 000	30 000	1 192 500	-	-	3 891 500
Transport operations	1 025 500	6 330 500	6 591 800	1 439 800	3 595 700	13 503 400	3 524 900	4 684 000	2 768 800	3 295 200	3 227 200	2 475 900	52 462 700
Air operations	2 761 500	3 023 300	4 552 200	1 203 900	-	1 400 600	2 988 300	34 601 000	6 404 900	28 445 800	74 962 000	16 937 300	177 280 800
Naval operations	-	-	-	-	-	-	142 300	1 290 500	-	-	-	-	1 432 800
Communications	758 900	4 014 000	4 757 800	830 900	718 500	1 644 600	846 600	3 624 400	862 900	1 241 500	1 569 200	2 378 400	23 247 700
Other equipment	980 500	2 098 100	4 959 200	1 112 800	1 001 000	2 628 200	898 900	1 606 000	1 453 300	658 700	5 144 000	1 004 300	23 545 000
Supplies and services	777 800	2 576 100	16 146 100	2 198 800	1 351 200	5 104 700	1 005 100	5 794 900	1 030 700	7 413 200	5 040 400	4 823 300	53 262 300
Air and surface freight	230 000	75 000	500 000	287 100	185 000	643 900	35 000	750 000	48 000	450 000	4 300 000	540 000	8 044 000
4 Other programmes	189 000	482 600	1 170 800	56 300	145 400	254 900	105 900	1 758 700	65 000	344 200	1 406 500	2 664 300	8 643 600
5 Staff assessment	1 611 900	8 384 500	26 495 200	1 527 000	757 400	1 554 000	2 130 600	8 974 200	3 568 800	1 625 000	5 176 700	1 066 550	62 871 850
Subtotal	**26 994 600**	**140 000 000**	**400 000 000**	**41 019 000**	**34 536 300**		**51 108 000**		**48 849 600**		**200 000 000**[d]		**942 507 500**
6 Prorated provision for the United Nations Logistics Base at Brindisi	85 289	442 327	1 263 791	129 599	109 117	629 045	161 474	1 778 786	154 339	1 737 712	862 915	568 706	7 923 100[e]
7 Prorated provision for the support account	816 452	4 234 303	12 098 009	1 240 621	1 044 551	6 021 721	1 545 763	17 027 947	1 477 457	16 634 763	8 260 509	5 444 104	75 846 200[f]
Total	**27 896 341**	**144 676 630**	**413 361 800**	**42 389 220**	**35 689 968**	**6 650 766**	**52 815 237**	**18 806 733**	**50 481 396**	**18 372 475**	**209 123 424**	**6 012 810**	**1 026 276 800**
Commitment authority with assessment	-	-	-	-	-	99 548 960[b]	-	282 000 000[b]	-	275 000 000[b]	-	90 000 000[b]	746 548 960
Grand total	**27 896 341**	**144 676 630**	**413 361 800**	**42 389 220**[a]	**35 689 968**	**106 199 726**	**52 815 237**[c]	**300 806 733**	**50 481 396**	**293 372 475**	**209 123 424**	**96 012 810**	**1 772 825 760**

[a] Inclusive of voluntary contributions in cash of $13,565,715 from the Government of Cyprus and $6.5 million from the Government of Greece

[b] Represents half of current 2000-2001 approved level. Approved as commitment authority by the General Assembly

[c] Inclusive of voluntary contribution in cash of $33,652,640 from the Government of Kuwait

[d] Represents half of current 2000-2001 approved level. Approved as Appropriation by the General Assembly

[e] After application of $1,059,500 in unencumbered balance and interest and miscellaneous income for the period from 1 July 1999 to 30 June 2000

[f] After application of $1,300,900 in unencumbered balance and interest and miscellaneous income for the period from 1 July 1999 to 30 June 2000

Annex III

A. Summary table of indicative amounts to be apportioned among Member States for active peacekeeping operations

(United States dollars)

Mission	(1) General Assembly resolution	(2) 1 July 1999 to 30 June 2000 Unencumbered balance	(3) 1 July 2000 to 30 June 2001 Assessments	(4) 1 July 2001 to 30 June 2002 Total apportionment	(5) Monthly	(6) (2)+(3)+(4) Assessment due	(7) Assessment issued Security Council resolution	(8) Amount	(9) Period	(10) (2)+(3)+(8) Total assessed[c]	(11) (6)-(10) Balance of assessments[e]
UNOMIG											
Gross	55/267	(5 996 479)	-	27 896 341	2 324 695	21 899 862	1339 (2001)	2 324 695	1 to	- [f]	21 899 862
Net		(5 775 479)	-	26 175 806	2 181 317	20 400 327	31-Jan-01	2 181 317	31-Jul-01	-	20 400 327
UNMIBH											
Gross	55/268	(25 990 381)	3 967 691 [a]	144 676 630	12 056 385	122 653 940	1357 (2001)	141 059 715	01-Jul-01	119 037 025	3 616 915
Net		(24 826 081)	3 734 372	135 728 725	11 310 727	114 637 016	21-Jun-01	132 335 507	to 21-Jun-02	111 243 798	3 393 218
UNMIK											
Gross	55/227 B	(65 272 000)	-	413 361 800	N/A	348 089 800	1244 (1999)	413 361 800	1-Jul-01	348 089 800	-
Net		(57 860 300)	-	385 256 870	N/A	327 396 570	10-Jun-99	385 256 870	to 30-Jun-02	327 396 570	-
UNFICYP											
Gross	55/266	(523 400)	963 364 [b]	22 323 505[d]	1 860 292	22 763 469	1354 (2001)	10 231 606	1 July to	10 671 570	12 091 899
Net		(504 000)	879 279	20 631 431	1 719 286	21 006 710	15-Jun-01	9 465 073	15-Dec-01	9 840 352	11 166 358
UNDOF											
Gross	55/264	(324 900)	-	35 689 968	2 974 164	35 365 068	1351 (2001)	14 870 820	1 July to	14 545 920	20 819 148
Net		(297 700)	-	34 793 582	2 899 465	34 495 882	30-May-01	14 497 325	30-Nov-01	14 199 625	20 296 257
UNIFIL											
Gross	55/180 B	-	-	106 199 726	N/A	106 199 726	1337 (2001)	23 242 259	1 to	23 242 259	82 957 467
Net		-	-	103 408 031	N/A	103 408 031	30-Jan-01	22 109 281	31-Jul-01	22 109 281	81 298 750
UNIKOM											
Gross	55/261	(1 216 883)	-	19 162 597[d]	1 596 883	17 945 714	S/2001/328	6 387 532	1 July to	5 170 649	12 775 065
Net		(884 833)	-	16 826 321	1 402 193	15 941 488	5-Apr-01	5 608 772	31-Oct-01	4 723 939	11 217 549
UNTAET											
Gross	55/228 B	(57 990 000)	-	300 806 733	N/A	242 816 733	1338 (2001)	242 816 733	1 July to	242 816 733	-
Net		(53 116 100)	-	289 566 839	N/A	236 450 739	31-Jan-01	236 450 739	31-Dec-01	236 450 739	-
MINURSO											
Gross	55/262	(2 913 400)	-	50 481 396	4 206 783	47 567 996	1359 (2001)	21 033 915	1 July to	18 120 515	29 447 481
Net		(2 312 800)	-	46 716 010	3 893 001	44 403 210	29-Jun-01	19 465 005	30-Nov-01	17 152 205	27 251 005
UNAMSIL											
Gross	55/251 B	(2 450 800)	-	293 372 475	N/A	290 921 675	1346 (2001)	155 872 475	1 July to	153 421 675	137 500 000
Net		(2 336 400)	-	289 534 096	N/A	287 197 696	30-Mar-01	152 846 596	30-Sep-01	150 510 196	136 687 500
MONUC											
Gross	55/275	(3 409 600)	90 800 600 [c]	209 123 424	N/A	296 514 424	1355 (2001)	209 123 424	1 July to	296 514 424	-
Net		(3 605 300)	88 258 500	202 847 602	N/A	287 500 802	15-Jun-01	202 847 602	31-Dec-01	287 500 802	-
UNMEE											
Gross	55/252 B	-	-	96 012 810	N/A	96 012 810	1344 (2001)	43 512 810	1 July to	43 512 810	52 500 000
Net		-	-	94 221 882	N/A	94 221 882	15-Mar-01	42 344 036	15-Sep-01	42 344 036	51 877 846
Total, Gross		**(166 087 843)**	**95 731 655**	**1 719 107 405**		**1 648 751 217**		**1 283 837 784**		**1 275 143 380**	**373 607 837**
Total, Net		**(151 518 993)**	**92 872 151**	**1 645 707 195**		**1 587 060 353**		**1 225 408 123**		**1 223 471 543**	**363 588 810**

[a] UNMIBH amount represents extension of the mandate from 22 to 30 June 2001

[b] UNFICYP amount represents extension of the mandate from 16 to 30 June 2001

[c] Represents additional resources approved for the period

[d] After deductions of voluntary contributions in cash

[e] **Amounts are indicative only, for reasons explained in paragraph 9 of the note. To be assessed at the monthly rates shown in column 5 based on period of mandate extension**

[f] Unencumbered balance can only be credited up to the amount of the apportionment, the remaining balance will be offset against the next assessment

B. Unencumbered balances to be credited to Member States from closed peacekeeping operations

(United States dollars)

Mission	*(1)* *General Assembly resolution*	*(2)* *1 July 1999 to 30 June 2000* *Unencumbered balance*
MONUA		
Gross	55/260	(818 100)
Net		(903 800)
MINURCA		
Gross	55/270	(1 197 100)
Net		(1 152 400)
MIPONUH		
Gross	55/269	(394 916)
Net		(523 316)
UNMOT		
Gross	55/263	(2 416 106)
Net		(2 180 934)
Total, Gross		**(4 826 222)**
Total, Net		**(4 760 450)**

Key to mission acronyms:

MINURCA = United Nations Mission in the Central African Republic

MINURSO = United Nations Mission for the Referendum in Western Sahara

MIPONUH = United Nations Civilian Police Mission in Haiti

MONUA = United Nations Observer Mission in Angola

MONUC = United Nations Organization Mission in the Democratic Republic of the Congo

UNAMSIL = United Nations Mission in Sierra Leone

UNDOF = United Nations Disengagement Observer Force

UNFICYP = United Nations Peacekeeping Force in Cyprus

UNIFIL = United Nations Interim Force in Lebanon

UNIKOM = United Nations Iraq-Kuwait Observation Mission

UNMEE = United Nations Mission in Ethiopia and Eritrea

UNMIBH = United Nations Mission in Bosnia and Herzegovina

UNMIK = United Nations Interim Administration Mission in Kosovo

UNMOT = United Nations Mission of Observers in Tajikistan

UNOMIG = United Nations Observer Mission in Georgia

UNTAET = United Nations Transitional Administration in East Timor